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| FORM PTO-1449<br>(REV. 7.80)  |    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE   |          | ATTY. DOCKET NO.<br>NPIC-0001    | SERIAL NO.<br>N/A |          |                                 |
| LIST OF REFERENCES CITED BY APPLICANT<br>(Use several sheets if necessary)  |    |  |          | APPLICANT<br><br>Kangzhuang Jian |                   |          |                                 |
|   |    |  |          | FILING DATE<br>Herewith          | GROUP<br>N/A      |          |                                 |
| U. S. PATENT DOCUMENTS  |    |  |          |                                  |                   |          |                                 |
| *EXAMINER<br>INITIAL  |    | DOCUMENT NUMBER  | DATE     | NAME                             | CLASS             | SUBCLASS | FILING DATE<br>(if appropriate) |
|   | AA | 3,644,254  | 02/22/72 | Dew                              | 260               | 28.5     | 05/21/68                        |
|   | AB | 4,661,359  | 04/28/87 | Seaborne et al.                  | 426               | 89       | 06/03/85                        |
|   | AC | 4,915,971  | 04/10/90 | Fennema et al.                   | 426               | 578      | 08/04/87                        |
|   | AD | 4,990,378  | 02/05/91 | Jones                            | 427               | 420      | 02/06/89                        |
|   | AE | 5,096,468  | 03/17/92 | Minhas                           | 55                | 16       | 03/17/92                        |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)   |    |  |          |                                  |                   |          |                                 |
|   | AF | I. Porter and C. Mark, "Handbook of Industrial Membrane Technology, Chapter 1 Synthetic Membranes and Their Preparation," 1990 Noyes Publications, pp. 1-56.   |          |                                  |                   |          |                                 |
|   | AG | Richard Baker, "Controlled Release of Biologically Active Agents - Chapter 1 Introduction," 1987 John Wiley & Sons, Inc., pp. 1-18.  |          |                                  |                   |          |                                 |
|   | AH | Myrna O. Nisperos-Carriedo, Philip E. Shaw and E. A. Baldwin, "Changes in Volatile Flavor Components of Pineapple Orange Juice as Influenced by the Application of Lipid and Composite Films," J. Agric. Food Chem. 1990, 38, pp. 1382-1387. |          |                                  |                   |          |                                 |
|   | AI | I.K. Greener and O. Fennema, "Evaluation of Edible, Bilayer Films for Use as Moisture Barriers for Food," Journal of Food Science, Volume 54, No. 6, 1989, pp. 1400-1406.  |          |                                  |                   |          |                                 |
|   | AJ | J.J. Kester and O. Fennema, "An Edible Film of Lipids and Cellulose Ethers: Performance in a Model Frozen-Food System," Journal of Food Science, Volume 54, No. 6, 1989, pp. 1390-1406.  |          |                                  |                   |          |                                 |
|   | AK | J.J. Kester and O. Fennema, "An Edible Film of Lipids and Cellulose Ethers: Barrier Properties to Moisture Vapor Transmission and Structural Evaluation," Volume 54, No. 6, 1989 Journal of Food Science, pp. 1383-1389.                     |          |                                  |                   |          |                                 |
|   | AL | J. David Bower, "Coatings Technology Handbook - Chapter 53 - Waxes," 1991 Marcel Dekker, Inc., pp. 477-483.  |          |                                  |                   |          |                                 |
|   | AM | I.K. Greener and O. Fennema, "Barrier Properties and Surface Characteristics of Edible, Bilayer Films," Volume 54, No. 6, 1989 Journal of Food Science, pp. 1393-1399.   |          |                                  |                   |          |                                 |
|   | AN | Robert D. Hagenmaier and Philip E. Shaw, "Moisture Permeability of Edible Films Made with Fatty Acid and (Hydroxypropyl) Methylcellulose," J. Agric. Food Chem. 1990, 38, 99, 1799-1803.   |          |                                  |                   |          |                                 |
| EXAMINER  |    |  |          | DATE CONSIDERED                  |                   |          |                                 |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |    |  |          |                                  |                   |          |                                 |

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| U. S. PATENT DOCUMENTS   |    |   |      |                                  |       |                   |                                 |
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|  | AO |   |      |                                  |       |                   |                                 |
|  | AP |   |      |                                  |       |                   |                                 |
|  | AQ |   |      |                                  |       |                   |                                 |
|  | AR |   |      |                                  |       |                   |                                 |
|  | AS |   |      |                                  |       |                   |                                 |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)  |    |   |      |                                  |       |                   |                                 |
|  | AT | J.J. Kester and O. Fennema, "Resistance of Lipid Films to Oxygen Transmission," Journal of American Oil Chemical Society, Volume 66, No. 8, August 1989, pp. 1129-1138.                       |      |                                  |       |                   |                                 |
|  | AU | J.J. Kester and O. Fennema, "Resistance of Lipid Films to Water Vapor Transmission," Journal of American Oil Chemical Society, Volume 66, No. 8, August 1989, pp. 1139-1146.                  |      |                                  |       |                   |                                 |
|  | AV | Robert D. Hagenmaier and Philip E. Shaw, "Permeability of Shellac Coatings to Gases and Water Vapor," Journal of Agricultural and Food Chemistry, Volume 39, Number 5, May 1991, pp. 825-829. |      |                                  |       |                   |                                 |
|  | AW |   |      |                                  |       |                   |                                 |
|  | AX |   |      |                                  |       |                   |                                 |
|  | AY |   |      |                                  |       |                   |                                 |
|  | AZ |   |      |                                  |       |                   |                                 |
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